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# INFLUENCE OF THE CUTTING CONDITIONS ON SOME LESS KNOWN PARAMETERS FOR CHARACTERIZING THE SURFACE ROUNGHNESS

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**Abstract:** The surface roughness can be evaluated by means of many parameters. At present, the most used surface roughness parameters are the roughness average  $R_a$  and the average maximum height  $R_z$  of the profile. Some researches concerning the influence exerted by different factors characterizing the cutting conditions (the work feed f, the cutting speed v and the tool noise radius  $r_s$ ) on some less used surface roughness parameters (namely the root mean square roughness  $R_q$  and the maximum height  $R_y$  of the profile are presented in this paper. The researches proved the similitude of the empirical mathematical models in the case of the parameters  $R_a$  and  $R_q$ .

Key words: cutting, surface roughness parameters, empirical models.

#### 1. INTRODUCTION

In machine building, *the surface state* should be defined both by the characteristics referring to the surface geometrical state and by aspects characterizing the physical - chemical state of the examined surface. As parameters concerning the geometrical state of the machined surface, we could mention the so-called *geometrical deviations of first degree* (shape deviations), *of second degree* (waviness), *of third degree* (periodical asperities, due essentially to feed motion of the tool and respectively *of fourth degree*, which are generated by the inhomogeneities existing in the workpiece material [1]. In principle, the deviations of third and fourth degree constitute *the surface roughness*.

Some time ago, to evaluate the surface roughness, two categories of parameters were used [1]:

a) Physical parameters; here, the maximum roughness depth  $R_{max}$ , the average maximum height  $R_z$  of the profile, the mean spacing  $S_m$  of the profile irregularities etc. were included;

b) Statistical parameters; this group was including the maximum profile peak height  $R_p$ , the roughness average  $R_a$ , the profile bearing length ratio  $t_p$  etc.

In Romania, to characterize the roughness of the surfaces obtained by cutting, on the mechanical drawings, in the majority of the situations, only the roughness average  $R_a$  is used; this parameter is defined as the mean size of the absolute sizes of the machined surface profile in comparison with the mean line, between the limits of the base length. In relatively few situations, we can meat mechanical drawings in which the so-called parameter  $R_z$ is used; this parameter is sometimes defined and named as *the height of the profile irregularities in ten points*.

Taking into consideration the necessity to completely characterize the roughness of the surfaces belonging to a piece, the specialists consider that the characterizing the surface quality by means of the roughness average in not enough [10]; of course, some specialists emphasize the necessity to have an image concerning the entire workpiece surface, established eventually by the using of scanners.

We can also specify that the modern apparata for surface roughness measuring have usually the possibili-

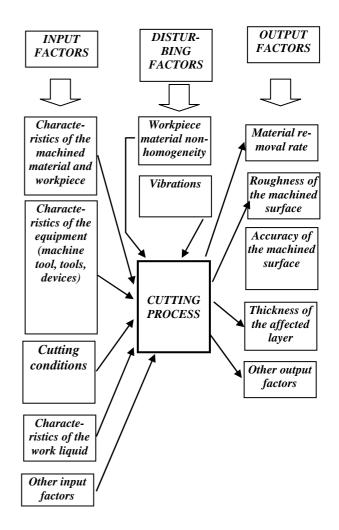


Fig. 1. The cutting process as system.

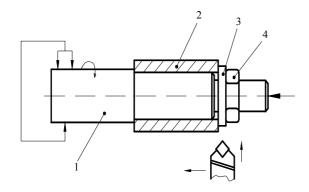


Fig. 2. Machining schema used to study the influence exerted by some factors on the surface roughness parameters.

ties to measure not only the sizes of the parameters  $R_a$  and  $R_z$ , but the evaluation of other different parameters could be made.

There are different empirical and theoretical mathematical models used to emphasize the influence exerted by the work conditions on the sizes of the surface roughness parameters [1, 2, 3, 4]; one of these mathematical models [6] is the following:

$$R_{a} = 0.000032 \frac{f^{2}}{r_{e}}, \qquad (1)$$

where f is the work feed (mm/rev) and  $r_{\varepsilon}$  is the tool corner radius (in mm).

In the Machine Manufacturing Technology Department of the Technical University "Gh. Asachi" of Iaşi, there are some equipment for surface roughness measurement; thus, we was interested to know how different work conditions exert influence on the parameters  $R_q$  (the root mean square roughness),  $R_y$  (the maximum height of the profile) and, eventually, to compare the influences exerted on the surface roughness parameters by the work conditions.

In Romania, some monographs presenting different aspects about the roughness of the surfaces machined by cutting were elaborated by the professor *Ştefănuță Eache*, from University Politehnica of Bucharest [3, 4, 5]; professor *Eugen Străjescu* (also from University Politehnica of Bucharest) elaborated a monograph concerning the surface roughness of the active zones belonging to the cutting tools [8].

### 2. CHARACTERIZING THE SURFACE ROUGHNESS BY MEANS OF THE PARAMETR $R_q$

As we above have mentioned, one of the parameters able to be used to characterize the surface geometrical state is *the root-mean-square roughness*; the parameter is defined as the square mean size of the profile deviations, between the limits of the base length:

$$R_a = \sqrt{\frac{1}{l} \int_0^l y^2(x) dx} , \qquad (2)$$

where l is the base length, namely the length of the reference line used to separate the irregularities which consti-

tute the surface roughness, and y is the profile deviation, namely the distance from a point of the profile to the reference line, in the measuring direction.

The maximum height  $R_y$  of the profile is the distance from the line of the profile prominences and the line of the profile gaps, between the limits of the base length.

We must mention that the both surface roughness parameters (the root-mean-square roughness  $R_q$  and the maximum height  $R_y$  of the profile) were specified in an older Romanian standard (STAS 5730/1-85 – *The surfaces state. The surface roughness. Terminology*); at present, the two surface roughness parameters are included in many national and international standards.

Of course, generally speaking, many factors exert influence on the surface roughness: the work parameters (the feed, the cutting speed, the depth of cut), the geometrical parameters of the tool active part (the back-rake angle  $\gamma$ , the side relief angle  $\alpha$ , the entering angle  $\kappa$ , the end cutting edge angle  $\kappa_1$ , the tool corner radius  $r_{\varepsilon}$  etc.), the presence and the nature of the work liquid, the nature and the metallographic structure of the workpiece material, the rigidity of the system machine - tool - tool device - workpiece, the presence of the eventual vibrations, etc.

We can see a presenting of the cutting process as a system in Fig. 1.

At the machining by turning, the specialists appreciate that the most important factors able to be modified if we want to change the surface roughness are the feed f, the cutting speed v and the tool corner radius  $r_{\varepsilon}$ .

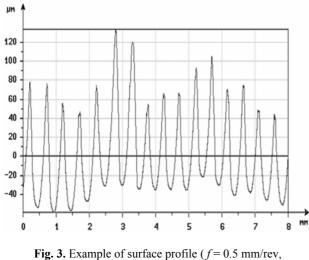
In order to experimentally determine the influence exerted by the above mentioned factors  $(f, v \text{ and } r_{\varepsilon})$  on the surface roughness parameters  $R_a$ ,  $R_q$ ,  $R_y$ , we used the machining schema presented in Fig. 2. Thus, 8 sleeves 2 made of a steel containing 0.45 % carbon were before prepared. Each of the sleeves was placed on an arbor l, forming a fit with small radial clearance.

The sleeve 2 was fixed on the arbor 1 by means of a washer 3 and a nut 4. At its turn, the arbor 1 was placed in a universal chuck and at the other end in the back center. We used sleeves as samples proofs trying to maintain the same rigidity of the technological system for each experiment; if we were using a proof sample type arbor with distinct zones machined in different work conditions, this means that for each zone another rigidity was valid and the rigidity variation along the arbor could exert influence on the surface roughness parameter.

For the three factors taken into consideration, we designed an experimental plan in accordance with the rules of the factorial experiment at two levels. Thus, we established for each factor two levels:  $f_{\rm min} = 0.15$  mm/rev and  $f_{\rm max} = 0.5$  mm/rev,  $v_{\rm min} = 60.28$  m/min and  $v_{\rm max} = 188.4$  m/min,  $r_{\varepsilon} = 0.4$  mm and  $r_{\varepsilon} = 1.2$  mm.

To establish the size of the feed, we took into consideration the common sizes of the work feed and the machine tool possibilities.

The cutting speed sizes were established so that the small size to be placed in the zone where we expect to appear the so-called *built-up edge*; on the other hand, the big size of the cutting speed ( $v_{max}$ =188.4 m/min) was so established to be out of the zone specific to the forming of the built-up edge.



 $v = 188.4 \text{ m/min}, r_{\varepsilon} = 0.4 \text{ mm}).$ 

For the tool corner radius, we used the sizes existing on the carbide tips mechanically fixed on the tool body. We want to specify also that the selection of the work feeds was so made to avoid the participation of the rectilinear zone of the cutting edge to the forming of the socalled profile of the remained section; thus, we could consider that the resulted profile is the consequence only of the action developed by the curvilinear zone of the cutting edge.

The sizes of the surface roughness parameters  $R_a$ ,  $R_q$  and  $R_y$  were measured by means of a surface roughness measuring instrument Talyrond-Talysurf.

We can see a graphic representation of the profile in Fig. 3.

For each experiment, the concrete sizes of the work feed, the cutting speed and the tool corner radius are presented in Table 1; we included also in the table the sizes of the parameters  $R_a$ ,  $R_q$  and  $R_y$ , determined as average size of three measurements.

The experimental results were processed by means of adequate software [2], to determine the so-called *regression functions*: this software is based on the using of the method of the smallest squares.

Thus, in the case of the roughness average  $R_a$ , by means of the above mentioned software, we established that the most adequate function is the following:

**Experimental conditions and results** 

Table 1

Exp no.	Feed f [mm/rev]	Cut- ting speed, v, [m/min]	Nose ra- dius, <i>r<sub>ø</sub></i> [mm]	Sur-face rough- ness para- meter, <i>R<sub>a</sub></i> , [µm]	Sur-face rough- ness para- meter $R_q$ , [µm]	Maxi-mum height of the pro- file, <i>R</i> <sub>y</sub> , [μm]
1	0.15	60.28	0.4	1.90	2.30	12.00
2	0.15	60.28	1.2	3.83	4.68	25.03
3	0.15	188.4	0.4	2.23	2.70	12.98
4	0.15	188.4	1.2	0.665	0.89	4.97
5	0.5	60.28	0.4	25.87	30.26	109.94
6	0.5	60.28	1.2	7.74	8.9	32.16
7	0.5	188.4	0.4	25.43	29.78	115.38
8	0.5	188.4	1.2	7.79	8.74	32.82

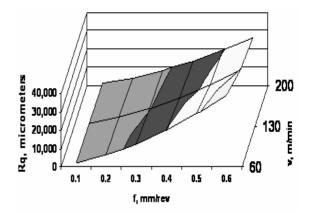


Fig. 4. Influence exerted by the work feed and cutting speed on the surface roughness parameter  $R_q$  ( $r_{\varepsilon} = 0.4$  mm).

$$R_{a} = 2.28 \cdot 352.1^{f} 0.996^{v} 0.404^{r_{e}} .$$
 (3)

For such a regression function (exponential function), the Gauss sum (used to appreciate the adequacy of the function to the experimental data) was  $S_G = 17.4115$ .

But we consider that more suggestive and useful to compare two or more regression functions, the power function is; by means of the same software, we established the function:

$$R_a = 186.210 f^{1.704} v^{-0.351} r_{\varepsilon}^{-0.659}, \qquad (4)$$

for which the Gauss sum is  $S_G = 17.4115$ .

A regression function type power was also determined in the case of the roughness average:

$$R_q = 192.48 f^{1.64} v^{-0.336} r_{\varepsilon}^{-0.648}, \qquad (5)$$

for which the Gauss sum is  $S_G = 25.29$ .

The regression function for the maximum height  $R_y$  (in  $\mu$ m) of the profile is the following:

$$R_{v} = 556.84 f^{1.357} v^{-0.322} r_{\varepsilon}^{-0.616} , \qquad (6)$$

the Gauss sum being  $S_G = 458.1129$ .

A first remark established by the examination of the regression functions (type power) determined for the surface roughness parameters  $R_a$  and  $R_q$  concerns the relative similitude of the mathematical relations: the sizes of the exponents are relatively close for the both relations

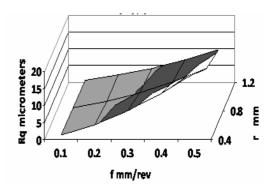


Fig. 5. Influence exerted by the work feed f and corner radius  $r_{c}$  on the surface roughness parameter  $R_a$  (v = 180 m/min).

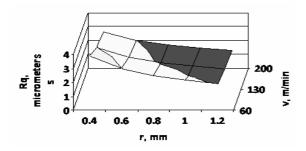


Fig. 6. Influence exerted by the cutting speed f and tool corner radius  $r_{\varepsilon}$  on the surface roughness parameter  $R_q$ (f = 0.5 mm/rev).

(for example, for the feed f, we obtained the exponents 1.704 for the surface roughness parameter  $R_a$  and 1.64 for the surface roughness parameter  $R_q$ , but the sizes of the exponents are also close for the exponents specific to the cutting tool v and the corner radius  $r_{\rm f}$ ).

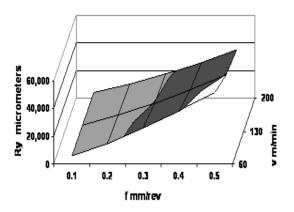
We could remark yet that taking into consideration the absolute sizes of the exponents belonging to different factors, we can put in order these factors on the base of their importance; thus, we can affirm that the most important influence is exerted by the work feed *f*, followed by the tool corner radius  $r_{\varepsilon}$  and by the cutting speed *v* (for the root mean square roughness  $R_q$ , the absolute sizes of their exponents being 1.64 > 0.648 > 0.336, while for the maximum height  $R_y$  of the profile, the absolute sizes of the exponents being 1.357 > 0.616 > 0.322).

The influence of the work feed f is bigger in the case of root mean square  $R_q$  than the influence exerted by the same factor in the case of the maximum height  $R_y$  of the profile.

Some graphical spatial representations corresponding to the empirical models (5) and (6) are presented in Fig. 4, 5, 6 and 7.

#### 3. CONCLUSIONS

In Romania and probably in other countries, the most used surface roughness parameters are the roughness average  $R_a$  and the average maximum height  $R_z$  of the profile, but the specialists recommend to be used many other surface profile parameters.



**Fig. 7.** Influence exerted by the feed *f* and the cutting speed *v* on the surface roughness parameter  $R_v$  ( $r_c = 1.2$  mm).

Within the Machine Manufacturing Department of the Technical University "Gh. Asachi" of Iasi, we tried to study two other surface roughness parameters, namely the root means square roughness  $R_q$  and the maximum height  $R_v$  of the profile.

We used the roughness average  $R_a$  as comparison parameter. As a first remark, we could specify that the empirical models for the parameters  $R_q$  and  $R_a$  are very similar.

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